

# PATENT COOPERATION TREATY

## PCT

### INTERNATIONAL PRELIMINARY REPORT ON PATENTABILITY (Chapter II of the Patent Cooperation Treaty)

(PCT Article 36 and Rule 70)

Applicant's or agent's file reference <b>P17671WO1</b>	<b>FOR FURTHER ACTION</b> See Form PCT/IPEA/416	
International application No. <b>PCT/SE2003/001829</b>	International filing date (day/month/year) <b>26/11/2003</b>	Priority date (day/month/year)
International Patent Classification (IPC) or national classification and IPC <b>See Supplemental Box</b>		
Applicant <b>Telefonaktiebolaget LM Ericsson (publ) et al</b>		

1.	This report is the international preliminary examination report, established by this International Preliminary Examining Authority under Article 35 and transmitted to the applicant according to Article 36.
2.	This REPORT consists of a total of <u>4</u> sheets, including this cover sheet.
3.	This report is also accompanied by ANNEXES, comprising: <div style="margin-left: 20px;"> <div style="margin-bottom: 10px;"> <input checked="" type="checkbox"/> a. (sent to the applicant and to the International Bureau) a total of <u>6</u> sheets, as follows: <div style="margin-left: 20px;"> <input checked="" type="checkbox"/> sheets of the description, claims and/or drawings which have been amended and are the basis of this report and/or sheets containing rectifications authorized by this Authority (see Rule 70.16 and Section 607 of the Administrative Instructions). <div style="margin-left: 20px;"> <input type="checkbox"/> sheets which supersede earlier sheets, but which this Authority considers contain an amendment that goes beyond the disclosure in the international application as filed, as indicated in item 4 of Box No. I and the Supplemental Box. </div> </div> </div> <div> <input type="checkbox"/> b. (sent to the International Bureau only) a total of (indicate type and number of electronic carrier(s)) _____, containing a sequence listing and/or tables related thereto, in electronic form only, as indicated in the Supplemental Box Relating to Sequence Listing (see Section 802 of the Administrative Instructions). </div> </div>
4.	This report contains indications relating to the following items: <div style="margin-left: 20px;"> <input checked="" type="checkbox"/> Box No. I      Basis of the report  <input type="checkbox"/> Box No. II     Priority  <input type="checkbox"/> Box No. III    Non-establishment of opinion with regard to novelty, inventive step and industrial applicability  <input type="checkbox"/> Box No. IV    Lack of unity of invention  <input checked="" type="checkbox"/> Box No. V     Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement  <input type="checkbox"/> Box No. VI    Certain documents cited  <input type="checkbox"/> Box No. VII    Certain defects in the international application  <input type="checkbox"/> Box No. VIII   Certain observations on the international application </div>

Date of submission of the demand  <b>19-09-2005</b>	Date of completion of this report  <b>20-01-2006</b>
Name and mailing address of the IPEA/SE Patent- och registreringsverket Box 5055 S-102 42 STOCKHOLM Facsimile No. +46 8 667 72 88	Authorized officer  <b>Nabil Sebaa /LR</b> Telephone No. +46 8 782 25 00

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Supplemental Box

In case the space in any of the preceding boxes is not sufficient.

Continuation of: Cover sheet

**INTERNATIONAL PATENT CLASSIFICATION (IPC) :**

**H04L 12/14** (2006.01)

**H04L 29/06** (2006.01) .

## INTERNATIONAL PRELIMINARY REPORT ON PATENTABILITY

International application No.

PCT/SE2003/001829

## Box No. 1 Basis of the report

1. With regard to the **language**, this report is based on:

- ☒ the international application in the language in which it was filed
- ☐ a translation of the international application into \_\_\_\_\_, which is the language of a translation furnished for the purposes of:
- ☐ international search (Rules 12.3(a) and 23.1(b))
- ☐ publication of the international application (Rule 12.4(a))
- ☐ international preliminary examination (Rules 55.2(a) and/or 55.3(a))

2. With regard to the **elements** of the international application, this report is based on (*replacement sheets which have been furnished to the receiving Office in response to an invitation under Article 14 are referred to in this report as "originally filed" and are not annexed to this report*):

- ☐ the international application as originally filed/furnished
- ☒ the description:
- pages 1 - 19 as originally filed/furnished
- pages\* \_\_\_\_\_ received by this Authority on \_\_\_\_\_
- pages\* \_\_\_\_\_ received by this Authority on \_\_\_\_\_
- ☒ the claims:
- pages \_\_\_\_\_ as originally filed/furnished
- pages\* \_\_\_\_\_ as amended (together with any statement) under Article 19
- pages\* 1 - 6 received by this Authority on 06/10/2005
- pages\* \_\_\_\_\_ received by this Authority on \_\_\_\_\_
- ☒ the drawings:
- pages 1 / 14 - 14 / 14 as originally filed/furnished
- pages\* \_\_\_\_\_ received by this Authority on \_\_\_\_\_
- pages\* \_\_\_\_\_ received by this Authority on \_\_\_\_\_
- ☐ a sequence listing and/or any related table(s) – see Supplemental Box Relating to Sequence Listing.

3. ☐ The amendments have resulted in the cancellation of:

- ☐ the description, pages \_\_\_\_\_
- ☐ the claims, Nos. \_\_\_\_\_
- ☐ the drawings, sheets/figs \_\_\_\_\_
- ☐ the sequence listing (*specify*): \_\_\_\_\_
- ☐ any table(s) related to the sequence listing (*specify*): \_\_\_\_\_

4. ☐ This report has been established as if (some of) the amendments annexed to this report and listed below had not been made, since they have been considered to go beyond the disclosure as filed, as indicated in the Supplemental Box (Rule 70.2(c)).

- ☐ the description, pages \_\_\_\_\_
- ☐ the claims, Nos. \_\_\_\_\_
- ☐ the drawings, sheets/figs \_\_\_\_\_
- ☐ the sequence listing (*specify*): \_\_\_\_\_
- ☐ any table(s) related to the sequence listing (*specify*): \_\_\_\_\_

\* If item 4 applies, some or all of those sheets may be marked "superseded."

## INTERNATIONAL PRELIMINARY REPORT ON PATENTABILITY

International application No.

PCT/SE2003/001829

Box No. V Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement

## 1. Statement

Novelty (N)

Claims

1-21

YES

Claims

NO

Inventive step (IS)

Claims

1-21

YES

Claims

NO

Industrial applicability (IA)

Claims

1-21

YES

Claims

NO

## 2. Citations and explanations (Rule 70.7)

Documents cited in the International Search Report:

D1: US 2003120499 A1

D2: WO 03047164 A2

D3: US 2002127995 A1

D4: EP 1096743 A1

D5: 3GPP TS 32.215 v4.5.0: "Technical Specification Group Services and System Aspects; Telecommunication management; Charging management; Charging data description for the Packet Switched (PS) domain; (Release 4)"

D6: 3GPP TS 29.060 v6.2.0: "Technical Specification Group Services and System Aspects; GPRS; GPRS Tunneling Protocol (GTP) across the Gn and Gp interface (Release 6)"

The cited documents represent the general state of the art.

The invention defined in claims 1-21 is not disclosed by any of these documents.

The cited prior art does not give any indication that would lead a person skilled in the art to the claimed method and apparatus for communicating charging information in a network comprising a SGSN and a GGSN wherein charging information is transmitted in an a GTP header and the GTP header comprises a pre-determined service class extension header which is reserved for indicating service class information pertaining to a packet payload for a PDP context of a user. Thus, an exact analysis on a packet-to-packet basis is accomplished.

Therefore, the claimed invention is not obvious to a person skilled in the art.

Accordingly, the invention defined in claims 1-21 is novel and is considered to involve an inventive step. The invention is industrially applicable.

Amended claims

1. Method of communicating charging information (CI) in a network comprising at least a serving node (SGSN) and a gateway node (GGSN) wherein  
5 charging information (CI) relating to a particular PDP context for a given mobile station is gathered in the gateway node and transmitted to a serving node (SGSN), in a GTP packet data unit comprising a header and a payload, wherein  
the GTP packet data unit moreover comprises a pre-determined service class extension header which is reserved for comprising service class information pertaining to at least one IP packet payload for a given PDP context for a user and  
10 wherein the header comprises a next extension header type indicating that the pre-determined service class extension header follows.
2. Method according to claim 1, wherein the charging information (CI) at reception at the serving node (SGSN) is signalled to a charging node (SCP) associated with the serving node (SGSN).
3. Method according to claim 2, wherein the charging information (CI) is at least gathered by performing packet inspection of the transmitted packet and assigning a predefined service class to the packet.
4. Method according to claim 2, wherein the charging node signalled to is a CAMEL SCP node and the charging information is reported by means of the CAP protocol.
5. Method according to any previous claim, wherein the network is a GPRS network, the serving node is Serving GPRS Support Node (SGSN), and the gateway node is a Gateway GPRS Support Node (GGSN).

6. A GTP packet data unit comprising  
a header, at least one extension header and a payload, wherein  
the header comprises a next extension header type indicating that a pre-  
determined service class extension header follows that is reserved for comprising  
5 service class information pertaining to at least one IP packet payload for a given  
PDP context for a user.
7. Packet data unit according to claim 6, wherein the service class information at  
least relates to the service class of the payload carried by the packet data unit  
10 comprising the service class extension header.
8. Packet data unit according to claim 7, wherein the service class extension header  
moreover comprises a volume count pertaining to the amount of payload being  
transmitted in the same packet data unit carrying the service class extension  
15 header and belonging to a given PDP context.
9. Packet data unit according to claim 6, wherein the service class information relates  
to the service class of the payload of IP packets transmitted in other packet data  
units relating to the same PDP context and wherein the volume count relates to  
20 the aggregate volume of the given classified payload.
10. Packet data unit according to claim 9, wherein the payload data relates both to  
data transmitted upstream and downstream for a given mobile user for a given a  
PDP context.
- 25 11. Packet data unit according to claim 9, wherein at least two service class extension  
headers are comprised in the packet data unit, whereby the service class extension  
headers relates to different service classes.
- 30 12. Packet data unit according to any of claims 6 – 11, wherein the packet data unit is  
a GTP-U PDU packet and the payload is a GTP-U PDU payload.
13. The packet data unit according to any of claims 6 - 11, wherein the extension  
header comprises at least a main service class field and a sub-class field.
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14. A gateway node (GGSN) communicating with a packet inspection and service classification system (PISC) to which IP packets may be communicated for identification of a given service class out of a number of predetermined service classes, the gateway node (GGSN) performing the steps of
- 5 receiving an IP packet (1I) from a packet data network (PDN, Gi),
- extracting the IP packet payload,
- 10 receiving (3I) a service class value for the payload,
- assigning the identified service class identity to a service class extension header (4I),
- 15 inserting the extension header (5I) to a packet data packet unit (GTP-PDU) carrying the payload (2I) and transmitting the packet data unit to a serving node (SGSN, Gn).
- 20 15. A serving node (SGSN) communicating with a charging node (CAMEL-SCP), the serving node (SGSN) performing at least the following steps
- receiving a packet data unit (GTP-U) from a gateway node (GGSN, Gn) comprising a service class extension header (1II),
- 25 extracting a service class value (2'II) from the service class extension header,
- calculating and storing the volume count from the extension header for the reported service class for a given PDP context (3'II),
- 30 transmitting the PDP payload towards a mobile station,
- reporting (4II, 5II) associated values of service class and volume count to a charging node (CAMEL-SCP).
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16. A serving node (SGSN) communicating with a charging node (CAMEL-SCP), the serving node (SGSN) performing at least the following steps
- 5 receiving (1I) a packet data unit (GTP-U) from a gateway node (GGSN, Gn) comprising a service class extension header,
- extracting (2I) a service class value and volume count from the service class extension header,
- 10 storing (3I) the volume count from the extension header for the reported service class for a given PDP context,
- transmitting the PDP payload towards a mobile station,
- 15 reporting (4I, 5I) associated values of service class and volume count to a charging node (CAMEL-SCP).
17. Serving node according to claim 15 or 16, wherein the storing (3'II, 3II) of the volume count involves accumulating a volume counter pertaining to a given PDP context.
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18. Serving node according to claim 15 or 16, wherein the charging node is a CAMEL node and the reporting hereto is following CAMEL reporting procedures.
- 25 19. Serving node according to claim 15 or 16, wherein the accumulation of volume reports from classified and / or incompletely classified payload volume are maintained as long as the PDP Context is active.



20. A gateway node (GGSN) communicating with a packet inspection and service classification system (PISC) to which payload of IP packets may be communicated for identification of a given service class out of a number of predetermined service classes, the gateway node (GGSN) performing the steps of

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continuously receiving (1III) downstream IP packets from a packet data network (PDN, Gi) interface for a given PDP context,

continuously receiving (2III, 3III) service class identification for the IP packets,

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for those IP packets, which are incompletely classified (3III), transmitting the payload (5III) towards a serving node (SGSN), while storing (4III) the volume count and associated incomplete classification for a given PDP context,

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when being able to identify (8III) a service class for a payload belonging to a PDP context for which payloads were previously incompletely classified, assigning the identified service class (9III) and the aggregate volume count (10III) for the previously incompletely classified payloads of the same PDP context to a service class extension header,

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inserting (11III) the extension header to a packet data packet unit (GTP-PDU) carrying the payload and transmitting the packet data unit to a serving node (SGSN, Gn).

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21. A gateway node (GGSN) communicating with a packet inspection and service classification system (PISC) to which payload of IP packets may be communicated for identification of a given service class out of a number of predetermined service classes, the gateway node (GGSN) performing the steps of

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continuously receiving (1IV) upstream packet data units to a serving node (SGSN, Gn) relating to a given PDP context,

receiving (3IV) the service class for the upstream payload,

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storing or accumulating (4IV) uplink volume count per service class,

when receiving (6IV) a first downstream packet from a packet data network (PDN, Gi) relating to the same PDP context,

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receiving (8IV) the service class for the downstream payload,

preparing (10IV) a service class header with the given service class for the upstream payload and the saved or accumulated volume counts,

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preparing (12IV) a service class header with the given service class for the downstream payload and the corresponding volume count,

inserting (11IV, 13IV) the extension headers to a packet data packet unit (GTP-PDU) carrying the payload (7IV) and transmitting it to a serving node (SGSN, Gn).

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